

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.
TH-2106 (US)APPLICATION NUMBER
09/910,696LIST OF INFORMATION PROVIDED
BY APPLICANTAPPLICANT
Ronald M. Bass et al.

(Use several sheets if necessary)

FILING DATE
7/20/2001GROUP ART UNIT
3672

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
7	AA	972,308	10/11/1910	Williamson			
7	AB	1,231,202	6/26/1917	Saylor			
7	AC	2,096,279	10/19/1937	Karcher	255	28	
7	AD	2,178,720	2/23/1938	Daniels	219	47	
7	AE	2,206,831	3/7/1938	Berthelsen	74	440	
7	AF	2,217,857	4/17/1937	Byck	166	21	
7	AG	2,224,403	12/10/1940	Lines	219	39	
7	AH	2,306,831	12/29/1942	Proctor	219	39	
7	AI	2,660,249	11/24/1953	Jakosky	166	17	

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subclass	Translation	
							Yes	No
7	AL	103,122	13/4/1937	WO	27.8	07.6	X	
7	AM	1,070,907 + Abst.	24/01/01	EP	F16L59	14		X
7	AN	1,359,445	4/3/1972	WO	F16L25	00	X	
7	AO	1,437,587	31/7/1974	WO	F16L 13	10	X	

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

7	AR	"Cable-Free Electrical Systems for the Oil to Gas Industry," Production Technologies Company, L.L.C., 600 Kenrick, Suite C-30; Houston, Texas 77060, advertisement, Copyright 1996, 6 pp.
7	AS	"Dunbar in Depth," Offshore Engineer, Dec. 1994, 2 pp.

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U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
J	BA	2,678,377	5/11/54	Justiz	219	40	
J	BB	2,714,930	8/9/1955	Carpenter	166	60	
J	BC	2,757,738	8/7/1956	Ritchey	166	39	
J	BD	2,851,197	9/9/1958	Colton	222	146	
J	BE	2,982,354	4/26/1957	Green	166	60	
J	BF	3,184,958	5/25/1965	Eaton	73	40.5	
J	BG	3,388,724	6/18/1968	Mowell et al.	138	149	
J	BH	3,423,570	1/21/1969	Trabilcy	219	301	
J	BI	3,432,186	3/11/1969	Braun	285	53	

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subclass	Translation	
							Yes	No
J	BL	1,532,730	18/10/1976	WO	F16L 25	01	X	
J	BM	2,084,284	7/4/1982	GB	F16L 53	00	X	
J	BN	569,815 + Abst.	16/09/77	SU	F3013A	27		X

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

J	BR	"Introduction to Direct Heating of Subsea Pipelines," overview by Statoil, Saga Petroleum, CSO Norge, Alcatel, Kabel Norge and EFI, Feb. 1988.						
J	BS	"Monolithic Pipeline Electrical Isolation Joints," HydroTech Systems, Inc., Engineered Pipeline Products, advertisement, Nov. 1996, 6 pp.						
J	BT	"New Double Pipe Insulated System (DPIS) Designed by Snamprogetti", Snamprogetti Offshore Division, Viale de Gasperi 16, San Donato Milanese, Milan, Italy, advertisement, 6 pp.						

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	APPLICANT Ronald M. Bass et al.	
	FILING DATE 7/20/2001	GROUP ART UNIT 3672

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
7	CA	3,439,075	4/15/1969	Bauer et al.	264	45	
	CB	3,507,330	4/21/1970	Gill	166	248	
	CC	3,515,837	6/2/1970	Ando	219	10.49	
	CD	3,547,193	12/15/1970	Gill	166	248	
	CE	3,556,218	1/19/1971	Talley, Jr. et al.	166	265	
	CF	3,605,888	9/20/1971	Crowson et al.	166	248	
	CG	3,614,986	10/26/1971	Gill	166	303	
	CH	3,620,300	11/16/1971	Crowson	166	248	
	CI	3,630,038	12/28/1971	Ando	61	72.1	
	CJ	3,642,066	2/15/1972	Gill	166	248	
7	CL	3,706,872	12/19/1972	Trabilcy	219	300	

FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subclass	Translation Yes No
	CL						

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
7	CR	"Skin Effect Pipe Heating Systems," Thermo Systems Technology, Inc. (TST), Four Commerce Park Square, 23200 Chagrin Boulevard, Suite 600, Beachwood, Ohio 44122, Copyright 1991, advertisement, 4 pp.
7	CT	Stop Paraffin Build-up and Realize Your Well's Full Potential . . . Plug in Paratrol. International, Inc. (PTI), 15423 Vantage Parkway East, Houston, Texas 77032, advertisement, Copyright 1989, 6 pp.

EXAMINER <i>Frank T...</i>	DATE CONSIDERED <i>3/8/04</i>
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REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
7	DA	3,789,188	1/29/1994	Rudbarg	219	301	
	DB	3,859,503	1/7/1975	Palone	219	278	
	DC	3,885,595	5/27/1975	Gibson et al.	138	155	
	DD	3,933,031	1/20/1976	Uhlarik	73	40.5 R	
	DE	3,935,632	2/3/1976	Maxson	29	455 R	
	DF	3,944,262	3/16/1976	Stiner et al.	285	53	
	DG	3,958,636	5/25/1976	Perkins	166	248	
	DH	3,975,617	8/17/1976	Othmer	219	300	
7	DI	3,981,181	9/21/1976	Ochiai	73	40.5 R	

FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subclass	Translation Yes No
	DL						

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
7	DR	"Tubing Casing Wireless Telemetry (Tucas System)," Schlumberger web page (1993?/ Jun. 1996), 16 pp.					
7	DT	A. Anselmi et al., "TTDPIS: A New Underwater Technology in the Field of Traced Insulated Pipelines," 1994 OMAE, vol. 5, Pipeline Technology, ASME, 1994, pp. 69-76.					

EXAMINER <i>Francis</i>	DATE CONSIDERED <i>3/8/04</i>
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REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
7	EA	3,983,360	9/28/1976	Offerman	219	301	
	EB	4,010,799	3/8/1977	Kern et al.	166	248	
	EC	4,011,652	3/15/1977	Black	29	455 R	
	ED	4,017,102	4/12/1977	Henderson	285	41	
	EE	4,140,179	2/20/1979	Kasevich et al.	166	248	
	EF	4,142,093	2/27/1979	Offerman	219	301	
	EG	4,319,632	3/16/1982	Marr, Jr.	166	60	
	EH	4,401,162	8/30/1983	Osborne	166	248	
7	EI	4,450,711	5/29/1984	Claude	73	40.5 R	
	EJ	4,496,174	1/29/1985	Mcdonald et al.	285	53	

FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subclass	Translation Yes No
	EL						

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
7	ER	Anonymous, "Insulated Pipe - Precision Engineering, June 2000, The Bayou Bulletin, Issue #8 from www.bayoupip.com/4News/News/tttr08-4.htm , 2 pages.
7	ES	Anonymous, "Insulated Technique to see first use in North Sea Development", May 17, 1993, Oil and Gas Journal vol. 91, Issue 20, page 61.

EXAMINER <i>Frace</i>	DATE CONSIDERED <i>3/18/04</i>
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REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
7	FA	4,522,578	6/11/1985	Jameson et al.	425	110	
	FB	4,553,023	11/12/1985	Jameson et al.	219	301	
	FC	4,590,971	5/27/1986	Webster	138	149	
	FD	4,644,780	2/24/1987	Jeter	73	40.5	
	FE	4,645,906	2/24/1987	Yagnik et al.	219	301	
	FF	4,667,505	5/26/1987	Sharp	73	40.5 R	
	FG	4,684,786	8/4/1987	Mann et al.	219	297	
	FH	4,716,960	1/5/88	Eastlund et al.	166	60	
	FI	4,768,455	9/6/88	Maxson et al.	114	264	

FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subclass	Translation Yes No
	FL						

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
7	FR	B. J. Eastlund et al., "New System Stops Paraffin Buildup," Petroleum Engineer, Jan. 1989, 3 pp.
7	FS	Brochure -Combi Pipe -the end of clogged pipelines, Alcatel Contracting Norway and Aker Engineering, 7 pp.

EXAMINER <i>Frederick</i>	DATE CONSIDERED <i>3/8/04</i>
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REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
7	GA	4,831,324	5/16/1989	Asakura et al.	324	57 R	
	GB	4,839,644	6/13/1989	Safinya et al.	340	854	
	GC	4,861,074	9/29/1989	Eastlund et al.	285	53	
	GD	4,874,925	10/17/1989	Dickenson	249	301	
	GE	4,970,467	11/13/1990	Burnett	324	637	
	GF	4,992,001	2/12/1991	Harrison	405	166	
	GG	4,996,879	3/5/1991	Kruka et al.	73	592	
	GH	5,072,622	12/17/1991	Roach et al.	73	40.5 R	
7	GI	5,172,730	12/22/1992	Driver	138	104	
	GJ	5,189,374	2/23/1993	Burnett	324	534	

FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subclass	Translation Yes No
	GL						

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
7	GR	Brochure -World Wide Experience List -Compressed Gas Insulated Transmission Bus System Type CGIT, ABB Power T & D Company Inc., 30 Oak Street, Westborough, MA 01581, USA, 6 pp.
7	GS	C. G. Langer, "Engineering Report--1979 Construction of the Cognac 12-inch Pipeline From Mississippi Canyon Block 194 to Southwest Pass East Bay Central Facilities, Offshore Louisiana," ES No. 74-82, Job No. 560513, Shell Oil Company, Pipeline Construction Department, *, 6 pp.

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U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
HA		5,270,661	12/14/1993	Burnett	324	527	
HB		5,289,561	2/22/1994	Filho	392	478	
HC		5,421,675	6/6/1995	Brown et al.	405	170	
HD		5,464,307	11/7/1995	Wilkins	405	166	
HE		5,490,562	2/13/1996	Arnold	166	267	
HF		5,605,798	2/25/1997	Koster	435	6	
HG		5,801,953	9/1/1998	Thoma et al.	364	487	
HH		5,836,719	11/17/1998	Martin et al.	405	166	
HI		5,905,194	5/18/1999	Strong	73	40.5	

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subclass	Translation Yes No	
HL								

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

HS		Compressed Gas Insulation Transmission Bus Ducts, ABB Power T&D Company, CGIT/PB Division, Westborough, MA, US 22 pages.						
x HT		DeLuca, "Field of Dreams", April 1, 2001, Offshore Engineering, form www.online.com/news/features/oe/20010401.Fields_0.520.asp , 9 pages.						

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Sheet 9 of 13

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	APPLICANT Ronald M. Bass et al. AUG 11 2003	
	FILING DATE 7/20/2001	GROUP ART UNIT 3672 OFFICE OF PETITIONS

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
77	IA	6,000,438	12/14/1999	Ohm	138	149	
	IB	6,049,657	4/11/2000	Sumner	392	469	
1	IC	6,058,979	5/9/2000	Watkins	138	149	
	ID	6,114,857	9/5/2000	Kohl	324	534	
77	IE	6,179,523	1/30/2001	Langner et al.	405	169	
	IF	6,278,095	8/21/2001	Bass et al.	219	629	

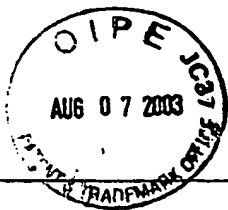
FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subclass	Translation Yes No
	IL						

OTHER DOCUMENTS, (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
77	IR	F. Aarseth and E. Bentsen, "Heating of Pipelines, and Power Supply to Subsea Electrical Equipment," Aker Engineering a.s. A. Bjørnstad and B. Knutsen, Alcatel Contracting Norway a.s., DOT 1995, 23 pp.					
77	IS	F. R. Newbold and T. K. Perkins, "Wellbore Transmission of Electrical Power," The Journal of Canadian Petroleum Technology, Jul.-Sep. 1978, Montreal, pp. 3-52.					

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Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
J	JA	6,292,627	9/18/2001	Gilchrist, Jr. et al.	392	311	
	JB	6,305,429	10/23/2001	Welch et al.	138	149	
	JC	6,315,497	11/13/2001	Wittman et al.	405	158	
	JD	6,364,401	4/2/2002	Kim	296	194	
	JE	6,371,693	4/16/2002	Kopp et al.	405	158	
	JF	6,382,259	5/7/2002	Codling	138	149	
	JG	6,509,557	1/21/2003	Bass	219	772	
	JH	US 2003/0015436 A1	1/23/2003	Bass et al.	205	740	
	JI	US 2003/0015519 A1	1/23/2003	Stone, Jr.	219	494	

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subclass	Translation Yes No
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OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

JR	F. G. Bosch, K. J. Schmitt, and B. J. Eastlund, "Evaluation of Downhole Electric Impedance Heating systems for Paraffin Control," Paper No. PCIC-90-34 presented at Industry Applications Society 37th Annual Petroleum and Chemical Industry Conference, Houston, Texas, Sep. 10-12, pp. 223-227.
JS	Fred S. Epstein and Gary L. White, "Understanding Impedance Heating," Chemical Engineering, May 1996, pp. 112-118.
JT	Gaylord, N.G., Gaylord Associates, Newark, New Jersey, "Polymers -Part I. Polyalklene Oxides and Other Polyethers"; Interscience Publishers: New York-London-Sydney, pp. 9-80.

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7	KA	US 2003/0016028 A1	1/23/2003	Bass	324	642	
7	KB	US 2003/0017007 A1	1/23/2003	Bass et al.	405	154.1	
7	KC	US 2003/0020499 A1	1/30/2003	Bass	324	721	

FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subclass	Translation Yes No

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
7	KS	"General Product Specification--Pipeline Insulating Joint," HydroTech Systems, Engineered Pipeline Products, advertisement, pp.					
	KT	H. Collins and M.A.R. Lyle, Progress Reported in Design of Reelable Insulated Subsea Flow Lines," Oil and Gas Journal", Sept. 24, 1990, p. 102-106.					
	KU	K. H. Akhampour, "A Novel Approach to Solving Downhole Fluid Flow Problems by Use of an Electric Heating System", Paper no. PCIC-85-35, 12 pp.					
	KV	Krevelen, D. W., Univ. of Technology. Delft. The Netherlands, "Properties of Polymers", 3.sup.rd Edition (Revised), Elsevier; Amsterdam-Oxford-New York-Tokyo, 1990; pp. 641-653.					
	KW	M. I. Mollison, "Foam Insulation Gets First Reeled Installation off Australia," Oil and Gas Journal, May 18, 1992, pp. 80-82.					
7	KX	Monobloc Insulating Joints Type "IK".					

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Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
	LA						
	LB						
	LC						

FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subclass	Translation Yes No
	LL						

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
7	LM	N. B. Carson, "A New Method for Heat Tracing Long Pipelines," ASME, 74-Pet-35, paper presented at the Petroleum Mechanical Engineering Conference, Dallas, Texas, Sep. 15-18, 1974, pp. 2-4.
	LN	Protest Document, Dec. 8, 1987 letter of Andrew W. Marr, Jr. to the Assistant Commissioner of Patents requesting issuance of U.S. Patent 4,716,960 available in the file history as of January 5, 1988.
	LO	R. Wash, "Electromagnetic Energy Helps Recovery," Gulf Coast Oil World,, Jun. 1986, pp. 18-19.
	LP	"Taking Induction Heating Underwater," Process Heating, Jul./Aug. 1995, 1 p.
7	LQ	The Electrothermic Co. (TEC), 4916 Bear Lane, P.O. Box 4227, Corpus Christi, TX 78408 advertisement/sales brochure, 4 pp.

EXAMINER <i>Frac 80</i>	DATE CONSIDERED <i>3/8/04</i>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

1. The attached cited information should not be construed as an admission that any of the above items are prior art to the subject invention.
2. This is not a representation that a search has been made.



FORM PTO-1449 (Modified)

ATTY. DOCKET NO.
TH-2106 (US)APPLICATION NUMBER
09/910,696LIST OF INFORMATION PROVIDED
BY APPLICANT

(Use several sheets if necessary)

APPLICANT
Ronald M. Bass et al.FILING DATE
7/20/2001GROUP ART UNIT
3672

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
	MA						

FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subclass	Translation Yes No
	MD						

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
7	ME	Anecdote - "Thermo Systems Under River Crossings" discussed in Supplemental Information Disclosure Statement filed on June 22, 2000 for US Patent Application SN 08/921,737, filed August 27, 1997, entitled, "Method for Maintaining Well Fluids Within a Shut-In Subsea Pipeline."
1	MF	Anecdote - "Fuel Oil Between Buildings" discussed in Supplemental Information Disclosure Statement filed on June 22, 2000 for US Patent Application SN 08/921,737, filed August 27, 1997, entitled, "Method for Maintaining Well Fluids Within a Shut-In Subsea Pipeline."
1	MG	Anecdote - "Asphalt Transfer Liner from Tank Cars et al." discussed in Supplemental Information Disclosure Statement filed on June 22, 2000 for US Patent Application SN 08/921,737, filed August 27, 1997, entitled, "Method for Maintaining Well Fluids Within a Shut-In Subsea Pipeline."
1	MH	Production Technologies Company Invention Disclosure of R. M. Bass dated July 24, 1986, "Disclosure for Controlled Paraffin Deposition in Subsea Pipelines" as discussed in Supplemental Information Disclosure Statement filed on June 22, 2000 for US Patent Application S/N No. 08/921,737, filed August 27, 1997, entitled "Method for Maintaining Well Fluids Within a Shut-In Subsea Pipeline."
7	MI	Purported Invention Disclosure of Production Technologies Company, L.L.C. (PTC) by Bernard J Eastlund and John Haerber, dated April 28, 1995. 'Apparatus for Introducing Electric Current into and Offshore Pipeline.' 4pp as discussed in Supplemental Information Disclosure Statement filed on June 22, 2000 for US Patent Application S/N No. 08/921,737, filed August 27, 1997, entitled "Method for Maintaining Well Fluids Within a Shut-In Subsea Pipeline."

EXAMINER

DATE CONSIDERED

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ATTY. DOCKET NO.
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(Use several sheets if necessary)

APPLICANT
Bass et al.FILING DATE
July 20, 2001

GROUP ART UNIT

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
	AA	6,142,707	11/07/00	Bass et al.	405	158	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

AR	"Direct Impedance Heating of Deepwater Flowlines," OTC 11037, May, 1999
AS	
AT	

EXAMINER

DATE CONSIDERED

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